White Paper

Fundamentals of Medical-Grade Data Exchange

September 2018
1 Introduction

The Personal Connected Health Alliance (PCHAlliance) is defining the field for health and wellness and making it an effortless part of daily life. Continua is a major initiative within the PCHAlliance that publishes and promotes the global adoption of standards and the implementation guidelines that unleash the massive amounts of medical-grade data that enables a more holistic perspective. Commercial ready software enables the rapid integration of these standards. Product assurance is supported by a conformity assessment program that verifies the standards have been properly and uniformly implemented.

All this empowers healthcare providers and insurance companies to achieve outcomes at lower costs through outsourced population management services. It enables remote care service companies to draw upon the Continua Design Guidelines (CDGs) to integrate the information & communication technology (ICT) systems essential to realizing these lower costs. It makes possible for device and sensor companies the means to furnish the meaningful observations that enable these ICT systems to deliver vital signs data captured from multiple sources by people at home and on the move. It provides pharmaceutical companies with the means to better measure drug efficacy.

No other organization offers this combination of open standards implementation guidance, software and product assurance procedures. This dramatically reduces product integration to days instead of months freeing engineers to focus on applications that provide value add and differentiate their offering in the market.

2 Purpose & Scope

The purpose of this white paper is to provide a basic description of the medical-grade data that is being exchanged between sensors, gateways, and end services and value-add the CDGs provide beyond the referenced standards to make implementations truly interoperable. The ITU-T Technical Paper “HSTP-H810 Introduction to the ITU-T H.810 Continua Design Guidelines” and the ITU-T H.810 Continua Design Guidelines themselves provide a more comprehensive understanding of these interfaces.

3 Architecture in Brief

The CDGs provide the only secure end-to-end information & communication technology solution for personal connected health and care using open standards. The CDGs provide a set of clearly defined interfaces that enable the secure exchange of medical-grade data among sensors, gateways, and end services, containing additional implementation guidelines that further clarify these standards and specifications by reducing options in the underlying standard or specification or by adding features missing in the underlying standard or specification.

![High Level Architecture](image_url)
The Personal Health Devices Interface standardizes around the IEEE 11073 Personal Health Device family of standards for health data representation and exchange between the sensor and the gateway. The Services Interface greatly simplifies platform integration by standardizing around the IHE PCD-01 Transaction and the HL7 V2 and FHIR standards to move data between a Personal Health Gateway and Health & Fitness Services (e.g. tele-health service). The Healthcare Information System Interface standardizes around the HL7-based PHMR to move data generated by personal healthcare monitoring devices between a Health & Fitness Service and Healthcare Information Service provider (e.g. electronic health record, EHR).

End-to-end security and privacy are addressed through a combination of identity management, consent management and enforcement, entity authentication, confidentiality, integrity and authentication, non-repudiation of origin, and auditing.

4 Personal Health Devices Interface

Remote care services experience interoperability challenges the instant they move from a single measurement to a multi-measurement platform. Achieving medical-grade interoperability means that multiple sensor types of data (e.g. vital signs sensor data from a glucose monitor, a blood pressure cuff, a pulse oximeter, thermometer or any other remote monitoring device) and the context of that data are clearly understood by healthcare providers end-to-end. Continua provide the only multi-measurement solution crucial to give healthcare providers an authentic and holistic view and understanding of the data because the chemical, biological and medical science data is understood through its comprehensive nomenclature coding system. This enables the evolution from simple observations to creating insights that enable a person to play a more active role in their health and care and live a more active and quality life.

To this end, the PCHAlliance works closely with the IEEE to develop the IEEE 11073 Personal Health Device family of standards to specifically address the interoperability of personal health devices (e.g. thermometer, blood pressure monitor) with an emphasis on personal use and a more simple communication model. This family of standards ensures that the user of the data knows exactly what was measured where and how, and that this critical information is not lost as it is transported from the sensor, to the gateway, and ultimately to the electronic health record system. Furthermore, the 11073 family of standards in the Continua architecture runs on top of USB, Bluetooth®, NFC and ZigBee transport protocols.

Unlike any other standard, a typical 11073 observation message captures a variety of measurement techniques, common device attributes, device specific attributes, and device events all critical to supporting clinical decisions. By way of example, a message from a blood pressure monitor could communicate use of the oscillometric technique, up to 18 common device attributes (e.g. model, manufacturer), 25 or more device specific attributes (e.g. measurement units, status, time), and 7 events (e.g. configuration, update). The protocol to communicate these makes sure that only changed data needs to be sent. In the example below in Figure 2 the units of blood pressure are not sent as they are already known by the receiver. See IEEE 11073-10407 Device Specialization – Blood Pressure Monitor for more detail. Time order of measurements is preserved regardless of poor connectivity conditions that occur from time to time and the individual moving between time zones (and possibly switching between home and travel measurement devices).

Communicating such an exhaustive set of attributes may not be necessary or practical in all healthcare monitoring applications. Therefore, the PCHAlliance works within the healthcare community to agree on a subset of attributes that are sufficient for consumer-friendly medical-grade healthcare monitoring solutions. In the blood pressure monitor, for example, Continua has identified four device specific attributes that must be communicated. The PCHAlliance also works closely with the Bluetooth SIG to ensure that Bluetooth low energy technology healthcare profiles include these attributes (characteristics) and that they are compatible with the IEEE 11073 data format. Recently completed IEEE 11073-104XX specializations include monitoring for sleep apnea breathing therapy, continuous glucose, and insulin pump, bringing the total number of supported profiles to 23. See H.811 Personal Health Devices Interface Design Guidelines for a detailed list.

Data confidentiality, integrity and authentication across the Personal Health Devices Interface is achieved via the underlying communication technology associated with each device (e.g. Bluetooth Security).
5 Services Interface

The world's largest technology companies are making great strides in connecting healthcare apps, devices and electronic health record systems but they are all based on proprietary APIs that must be custom managed company by company by each system integrator. This has allowed each of those companies to move quickly into the healthcare IT space, but it does not scale. Healthcare providers are locked into vertically integrated solutions from a single vendor limited in scope (e.g. using blood sugar level as the sole input to manage only diabetes). System integrators don't have unlimited resources necessary to integrate hundreds of devices and thousands of apps that would happen automatically if device manufacturers supported open standards in uniform fashion.

To greatly simplify platform integration with an expanded ecosystem of devices, the Services Interface implements an open standard solution for uploading device observations, exchange of questionnaires and responses, consent management, capabilities exchange, and authenticated persistent sessions over a wide area network. HL7 standards are employed as they are the mostly widely recognized healthcare standard in the world for the structure of clinical documents. IHE provides the specifications of choice for the coordinated use of those documents. Thus the design guidelines ensure interoperability by constraining HL7 standards and IHE specifications and providing implementation guidance and interface certification.

For the Services Interface, security is achieved through consent management (HL7 CDA R2 Consent Directive), consent enforcement (XML Encryption Specification), auditing (IHE ATNA), confidentiality, integrity and service authentication (WS-I BSP, TLS v1.2), and entity authentication (WS-I BSP, WS-Security + SAML 2.0, or OAuth).

5.1 Device Observation

Device observations are one-way, point-to-point transmission of single and batch measurements between a Personal Health Gateway and a Health & Fitness Service. The Continua Design Guidelines specify two implementations for uploading HL7 V2.6 Observations payloads:

- IHE PCD-01 message packaged in SOAP and authenticated using SAML, or
- HL7 FHIR Resources via REST and OAuth.

The HL7 Messaging Standard Version 2.6 (HL7 V2.6 Observations) is widely adopted and used in the IHE PCD-01 Transaction to communicate Patient Care Device data from a device observation reporter (e.g. Personal Health Gateway) to a device observation consumer (e.g. Health & Fitness Service). This employs the HL7 V2 Unsolicited Observation Result (ORU^R01) message structure to capture and transmit sensor data. There are four key segments in this message structure: message header, patient identification, observation request, and observation result. The design guidelines map the ISO-IEEE 11073-20601
attributes to the PCD-01 message and preserves the IEEE 11073 nomenclatures to ensure the measurement information is clearly understood by the consumer of the observation. This PCD-01 message is packaged in SOAP then transported over the internet using industry standard web services and secured using TLS and SAML. A sample PCD-01 message is shown in Figure 3.

HL7 V2.6 Observation content may also be uploaded using the HL7 FHIR standard. This standard is rapidly growing in popularity as it dramatically reduces the complexity of implementing HL7 V3 standards by providing a resource-oriented implementation framework using more popular RESTful style web services and OAuth authentication tools. The Continua Design Guidelines specify how to map ISO-IEEE 11073-20601 attributes received from a sensor into FHIR resources. These are then used to model HL7 V2.6 Observations employing three FHIR resource types: a Patient resource, a DeviceComponent resource, and an Observation resource. These resources (individual or as a transaction bundle) form a FHIR data payload that is transported over the internet using RESTful style web services and secured using TLS and OAuth. A sample transaction bundle containing an Observation resource is shown in Figure 4.

5.2 Questionnaires

Patient reported outcome measures, or questionnaires, are used in a clinical setting to collect information directly from the patient. They provide important context in which to better understand observations that are provided by remote monitoring devices. The design guidelines enable the interoperable exchange of

---

Figure 3: Sample PCD-01 Message

Copyright © 2018 Personal Connected Health Alliance. All rights reserved.
questionnaires across the Services Interface. Questionnaires are presented according to the HL7 Implementation Guide for Questionnaire Form Definition document HL7 CDA QFD. Responses to a questionnaire are then presented according to the HL7 Implementation Guide Questionnaire Response document HL7 CDA QRD. Questionnaires are transported per HL7 Version 3 Standard: hData Record Format, Release 1 and Object Management Group (OMG) hData REST Binding for RLU Specification 1.0.1.

### 5.3 Consent Management

Consent management is a system, process, or set of policies that enable patients to choose what health information they are willing to permit their healthcare providers to access and share. The design guidelines provide for the capturing and transferring of consent policy in electronic form between the Health & Fitness Service and the Personal Health Gateway via the Services Interface.

Consent representation is per HL7 Implementation Guide for CDA Release 2.0: Consent Directive. hData over HTTP is used as the transport protocol for the exchange of consent documents. Consent enforcement is enabled through the use of the IHE DEN profile. Alternatively, IHE IT Infrastructure Technical Framework Supplement Cross-Enterprise Document Reliable Interchange (XDR) can be used as transport protocol for uploading consent documents to the server. When the XDR protocol is used, consent enforcement uses XML encryption standard targeting a specific recipient.

```
{  "resourceType": "Bundle",  
    "id": "2017-03-06T16:30:12.236-05:00",  
    "type": "transaction",  
    "entry": [  
        {  
            "fullUrl": "urn:oid:1.0.0.1",  
            "resource": {  
                "resourceType": "Observation",  
                "identifier": [  
                    {  
                        "value": "sisansarahld-urn:oid:1.2.3.4.5.6.7.8.10-1234567800000033-160368-20170306163009.000-95"  
                    }  
                ],  
                "status": "final",  
                "code": {  
                    "coding": [  
                        {  
                            "system": "urn:oid:std:iso:11073:10101",  
                            "code": "160368",  
                            "display": "MDC_CONC_GLU_UNDETERMINED_PLASMA"  
                        }  
                    ],  
                    "subject": {  
                        "reference": "Patient/PatientId-sisansarahld"  
                    },  
                    "effectiveDateTime": "2017-03-06T16:30:10.245-05:00",  
                    "performer": {  
                        "reference": "Patient/PatientId-sisansarahld"  
                    },  
                    "valueQuantity": {  
                        "value": 95,  
                        "unit": "mg/dl",  
                        "system": "urn:oid:std:iso:11073:10101",  
                        "code": "264274",  
                        "device": {  
                            "reference": "Device/SysId-1234567800000033"  
                        },  
                        "related": [  
                            {  
                                "type": "qualifier",  
                                "target": {  
                                    "value": "urn:oid:3.14159.20170306163008.245"  
                                }  
                            }  
                        ],  
                        "request": {  
                            "method": "POST",  
                            "url": "Observation"  
                        }  
                    }  
                }  
            }  
        }  
    ],  
    "request": {  
        "method": "POST",  
        "url": "Observation",  
        "ifNotExists": {  
        "identifier": "sisansarahld-urn:oid:1.2.3.4.5.6.7.8.10-1234567800000033-160368-20170306163009.000-95"  
        },  
        " trespass": [  
            {  
                "fullUrl": "urn:oid:3.14159.20170306163008.245",  
                "resource": {  
                    "resourceType": "Observation",  
                    "text": {  
                        "additional": "Additional information",  
                        "div": "<div xmlns="http://www.w3.org/1999/xhtml">"  
                    }  
                },  
                "request": {  
                    "method": "POST",  
                    "url": "Observation"  
                }  
            }  
        ]  
    }  
}
```

Figure 4: Sample FHIR Message

### 5.4 Capabilities Exchange

Capability Exchange reduces the amount of information that must be pre-configured on a device in order to obtain plug-n-play interoperability. The design guidelines enable this exchange of capability information.
between a Personal Health Gateway and a Health & Fitness Service (e.g. tele-health service). Properties of a device or service and how to start the exchange of this information are defined. This information is exchanged in XML or JSON per HL7 Version 3 Specification: hData Record Format, Release 1 over TLS v1.1 using OAuth.

5.5 Authenticated Persistent Session

Continua’s Authenticated Persistent Session (APS) enables a cloud service to have a persistent secure channel to a gateway in the cellular environment where bandwidth, power, and IP resources may be limited and/or intermittent. The channel is persistent in that it stays in place even when IP connectivity is lost, continuing data delivery once IP connectivity is re-established. Industry standard SMS messaging can be used to wake up a cellular gateway that has gone into a low power state, or lost its IP connectivity. The APS allows the cloud service to issue commands to the gateway and get timely responses without requiring continuous polling. This reduces bandwidth needs and conserves gateway power. The APS uses RESTful exchanges to establish the communications channel and MQTT, a lightweight publish-subscribe based protocol standard, to exchange messages.

6 Healthcare Information System Interface

The Healthcare Information System (HIS) Interface provides for the electronic exchange of health records employing an HL7-based PHMR. The PHMR is defined by HL7 to carry personal healthcare monitoring information to electronic medical record systems and includes representation of measurements captured by personal health devices. The PHMR is used by Continua to communicate data generated by personal healthcare monitoring devices information packaged in one or more PCD-01 messages.

The CDG specifies the transport of these reports using IHE XDS or ONC DIRECT. IHE XDS is a distributed collaborated approach that enables healthcare documents to be shared over a wide area network between hospitals and care providers. IHE XDS registries store metadata used to retrieve documents, while any number of XDS repositories store documents. IHE Patient Identifier Cross-Reference (PIX) and Cross-Enterprise Document Sharing (XDS) are used by the HIS interface for cross-referencing patient identifiers and cross-enterprise document sharing. ONC DIRECT provides a simple and secure standard-based method for sending health information to the known and trusted participants via email over the Internet.

For the Healthcare Information System Interface, security is achieved through confidentiality, integrity and authentication (TLS v1.1 and IHE XDM S/MIME), entity authentication (IHE XUA, IHE XUA++), identity management (IHE Patient Identity Feed HL7 V3, IHE PIXV3 Query transaction, and IHE Patient Demographics Query HL7 V3 transaction), consent management (HL7 CDA R2 Consent Directive), consent enforcement (IHE Document Encryption Profile), non-repudiation of origin (IHE Document Digital Signature), and auditing (IHE ATNA).

7 Commercial Ready Source Code

Continua develops commercial ready source code that simplifies and accelerates implementation of the capabilities described above. To streamline regulatory approvals, this software is developed in accordance with IEC 62304 under an ISO 13485-based project quality plan.

A micro services architecture is employed on the Health & Fitness Service platform and a micro service inspired architecture on the Personal Health Gateway to facilitate portability and extensibility. Self-contained modules allow for independent development and well-defined interaction with other modules. The single use responsibility principle is applied. All communication between modules goes through a common message bus optimized for resource-constrained platforms. As platform technologies evolve rapidly, a platform abstraction layer separates platform-specific and platform-independent library modules. Languages and dependencies are minimized to maximize common code across platforms (i.e. Android and iOS) and to help manage SOUP.

To expand the developer community and further software adoption, Continua is working towards launching an Open Source Software Project. Therefore, a test framework is developed from the start and all software developed and delivered shall be compliant with FreeBSD open source software license.
Figure 5: Sample PHMR Message

8 Conformity Assessment

While standards are essential, they are not sufficient to ensure multi-measurement interoperability. Going beyond the implementation guidance of the CDG, Continua also provides for product assurance by maintaining a compliance and interoperability assessment program. This includes all the tools, processes and procedures necessary to ensure buyer requirements are clearly communicated and products can demonstrate conformance to required standards essential to interoperability. Demonstrated interoperability is key to resolving root causes of regulatory challenges.

The Conformity Assessment Scheme (CAS) by Continua achieves that delicate balance between a comprehensive and rigorous method for ensuring devices meet stated functional requirements yet demonstrated in an affordable time and cost that allows vendors to be profitable in a highly competitive market. It provides a transparent and universal mechanism to assure compliance with procurement requirements. This is especially valuable in the complex, demanding and highly fragmented healthcare IT market. CAS by Continua defines high value objective methods and criteria for 3rd party certification of test results that are recognized worldwide. Certified products may sport the Continua logo and be listed on the Certified Products Showcase. For more price sensitive markets, CAS by Continua also outlines a more affordable yet disciplined process by which device vendors can self-declare compliance to the CDG directly to their customer. They do not sport the Continua logo but are posted on the PCAlliance Continua Compliant Listing.

Conformity assessment of sensor devices ensures that IEEE 11073 conformant data is securely received at the gateway. Assessment of the Services interface ensures that each field of every segment in the PCD-01 message or equivalent FHIR data contains a valid value. Assessment of the HIS interface ensures the syntax and semantics of the XML message.
9 Foundational Specifications & Standards

IEEE drives the functionality, capabilities and interoperability of a wide range of products and services that transform the way people live, work and communicate. The IEEE 11073 Personal Health Devices family of standards enables communication between medical, health care and wellness devices and with external computer systems. It includes the IEEE 11073-10101 Nomenclature, 11073-10201 Domain Information Model, 11073-20601 Optimized Exchange Protocol, and the device specializations in the IEEE 11073-10400-series. The Nomenclature standard defines the overall architecture of the organization and relationships among nomenclature components along with specific semantics and syntaxes. The Domain Information Model standard addresses the definition and structuring of information that is communicated or referred to in communication between devices. The Optimized Exchange Protocol standard defines a common framework for making an abstract model of personal health data available in transport independent syntax. Device Specializations standards define communications between compute engines (e.g. personal health gateway) and specific personal health tele-health devices.

Integrating the Healthcare Enterprise is an initiative by care providers and vendors to improve the way information systems communicate to support patient care. Integration profiles describe clinical requirements for systems integration and well-defined and highly constrained solutions to address them. Transactions are used to specify in careful detail the roles for each component in the system and are based on standards such as IEEE 11073 and HL7.

HL7 is a not-for-profit, ANSI-accredited standards developing organization dedicated to providing a comprehensive framework and related standards for the exchange, integration, sharing, and retrieval of electronic health information that supports clinical practice and the management, delivery and evaluation of health services.

References

HSTP-H810 Introduction to the ITU-T H. 810 Continua Design Guidelines
ITU-T H.810 Interoperability Design Guidelines for Personal Health Systems
ITU-T H.811 Personal Health Devices Interface Design Guidelines
IEEE 11073-10101 Nomenclature and subsequent amendments
IEEE 11073-10201 Domain Information Model
IEEE 11073-20601 Optimized Exchange Protocol and subsequent corrigenda
IEEE 11073-10407 Device Specialization – Blood Pressure Monitor
Continua Certification Process
ONC DIRECT

10 Glossary

API Application Programming Interface
APS Authenticated Persistent Session
ATNA Audit Trail and Node Authentication
BSP Basic Security Profile
CDA Clinical Document Architecture
DEC Device Enterprise Communication
DEN Document Encryption
EHR Electronic Health Record
FHIR Fast Healthcare Interoperability Resources
HIMSS Healthcare Information Management Systems Society
HIS Healthcare Information System
HL7 Health Level 7 International
HTTP Hypertext Transfer Protocol
IHE Integrating the Healthcare Enterprise
IP Internet Protocol
IT Information Technology
ITU International Telecommunications Union
JSON JavaScript Object Notation
MQTT Message Queuing Telemetry Transport
NFC Near-Field Communications
OMG Object Management Group
ONC Office of the National Coordinator for Health Information Technology
ORU Unsolicited Result Observation
PCD Personal Connected Device
11 About Personal Connected Health Alliance

The [Personal Connected Health Alliance](http://www.pchalliance.org/) (PCHAlliance), a non-profit organization formed by [HIMSS](https://www.himss.org) (Health Information and Management Systems Society), believes that health is personal and extends beyond healthcare. PCHAlliance accelerates technical, business, policy and social strategies necessary to advance personal connected health. PCHAlliance members are a vibrant ecosystem of technology and life sciences industry icons and innovative, early stage companies along with governments, academic institutions, and associations from around the world. To support its vision, PCHAlliance convenes the global personal connected health community at the annual [Connected Health Conference](http://www.pchalliance.org/), the premier international event for the exchange of research, evidence, ideas, innovations and opportunities in personal connected health. The Alliance also publishes and promotes adoption of the [Continua Design Guidelines](http://www.continuaalliance.org), recognized by the International Telecommunication Union (ITU) as the international standard for safe, secure, and reliable exchange of data to and from personal health devices.

12 For More Information

**Personal Connected Health Alliance**

Website: [http://www.pchalliance.org/](http://www.pchalliance.org/)  
Email: [ask@pchalliance.org](mailto:ask@pchalliance.org)  
Phone: +1 (703) 562-8877  
Address: 4300 Wilson Boulevard - Suite 250  
Arlington, VA 22203

13 Legal

Use of the information contained herein shall be governed solely by the terms and conditions of the Personal Connected Health Alliance Operating Agreement. The document and information contained herein is not a license, either expressly or impliedly, to any intellectual property owned or controlled by any of the authors or developers of this specification. The information contained herein is provided on an “AS IS” basis, and, to the maximum extent permitted by applicable law, the authors and developers of this specification as well as the Personal Connected Health Alliance hereby disclaim all other warranties and conditions, either express, implied or statutory, including but not limited to, any (if any) implied warranties, duties or conditions of merchantability, of fitness for a particular purpose, of accuracy or completeness of responses, of results, of workmanlike effort, of lack of viruses, of lack of negligence or on non-infringement.

Continua is a trademark of Personal Connected Health Alliance and the CONTINUA logo is a registered service mark of the Personal Connected Health Alliance.

*Other names and brands may be claimed as the property of others.

Copyright © 2018 Personal Connected Health Alliance. All rights reserved.